

Characteristic class and epsilon factor of an étale sheaf

Joint with Umezaki and Zhao.

"hai-viet"

Thank Prof Javier Fresán very much for his invitation, also thank the organizers.

When I say "CC", I mean characteristic cycle

"SS", I mean singular support. -for short

smaller "cc", I mean characteristic class.

Notation Let k be a finite field of characteristic $p > 0$

Let $\ell \neq p$ be a prime

Let Λ be a finite extension of \mathbb{F}_ℓ or \mathbb{Q}_ℓ .

All schemes/k are assumed to be separated of finite type over k , except for local trait

X/k smooth projective, purely of dimension d .

$F \in D_c^b(X, \Lambda)$

Goal Prove a twist formula for global epsilon factor, and apply it to study the push-forward of characteristic class

Swan class

§1 Introduction

① The global epsilon factor of F is defined to be $\epsilon(X, F) = \det(-\text{Frob}_k^* R\Gamma(X_k, F))$

where Frob_k is the geometric Frobenius, i.e., the inverse of the Frobenius substitution $x \mapsto x^{#k}$ on \mathbb{F}_k .

② The characteristic class of F is the zero cycle class

$$cc_X F = \langle CC F, T_X^* X \rangle_{T^* X} \in CH_0(X).$$

③ By Kato-Saito's unramified class field theory for X , we have a reciprocity map

$$\begin{array}{ccc} CH_0(X) & \xrightarrow{\text{Res}} & \pi_i^{ab}(X) \\ & \xrightarrow{[S]} & [\text{Frob}] \text{ geo. Frob.} \end{array}$$

Which is injective with dense image.

Even though, Frob is defined up to conjugation, but in $\pi_i^{ab}(X)$, it is well defined.

The following global twist formula was conjectured by Kato-Saito around 2004 ^{2009?}

Theorem A (Umezaki-Y-Z, 2017)

For any smooth sheaf $\mathcal{G} \in D^b_c(X, \Lambda)$, we have

$$E(X, \mathbb{F} \otimes \mathcal{G}) = E(X, \mathbb{F})^{h_{\mathcal{G}}} \cdot \det(\mathcal{P}_X \cap c_{c_X} \mathbb{F}) \\ CH_0(X) \xrightarrow{\mathcal{P}_X} \pi_1^{ab}(X) \xrightarrow{\deg \mathcal{G}} \Lambda^X.$$

$c_{c_X} \mathbb{F}$

Remark In Kato-T.Saito's paper, they defined the Swan class $Sw^{ks}(\mathbb{F}) \in CH_0(X \setminus U) \otimes \mathbb{Q}$ for a smooth sheaf $\mathbb{F} \in D^b_c(U, \Lambda)$ on $U \subseteq X$ by using alteration and logarithmic blow-up. The global twist formula was written in terms of $Sw^{ks}(\mathbb{F})$ in that time.

Conjecture B (T.Saito, 2016, weak form) ^{k: any perfect field.} For any smooth sheaf \mathbb{F} on $U \subseteq X$, we have $Sw^{ks}(\mathbb{F}) = Sw^{cc}(\mathbb{F})$

where $Sw^{cc} \mathbb{F} = \langle T_X^* X, \text{rank } \mathbb{F} \cdot CC(j)_! \Lambda - CC(j)_! \mathbb{F} \rangle_{T_X^* X}$ in $CH_0(X \setminus U)$.

— Both Sw^{ks} and Sw^{cc} core satisfies the higher GOS formula:

$$\chi_c(U_R, \mathbb{F}) = \text{rank } \mathbb{F} \cdot \chi_c(U_R, \mathbb{F}) - \deg Sw \mathbb{F}.$$

We proved Conjecture B if X is a proj smooth surface over a finite field

or if we assume resolution of singularities and assume proper push-forward of Sw^{cc} (or CC or $\mathcal{S}_{c_X} \mathbb{F}$) by generically finite and surjective map.

I will back to this part if I have more time.

Some known results of twist formula

1) local twist formula, (Deligne + Henniart) ¹⁹⁸¹, globalization?

2) If f is smooth (has no ramification),

1984, S.Saito, proved an explicit formula for $E(X, \mathbb{F})$

1984 Henniart : explicit formula for local epsilon factor modulo roots of unity of p -power order.

(4) 2016, Tomoyuki Abe and Deepam Patel, twist formula for de Rham epsilon factor ($\xrightarrow{\text{Via}}$ microlocal geometry).

It's still open for microlocal description of $\det_{\mathbb{C}}^{\text{RP}} \text{SS}_{\mathbb{C}}$ for ℓ -adic cohomology.

Application of twist formula (proper push-forward)

total characteristic class

Let $K(X, \Lambda)$ be the Grothendieck group of $D_c^b(X, \Lambda)$,

$$d = \dim X$$

$$K(X, \Lambda) \longrightarrow CH_d(\mathbb{P}(T^*X \oplus 1)) \xrightarrow{\cong} H_*(X) = \bigoplus_{i=1}^d CH_i(X)$$

$$f \longmapsto \overline{cc_f} = \overline{\pi^*(cc_f \oplus 1)} \longmapsto \pi^*(c(g) \cap \overline{cc_f})$$

We have

$$cc_{X,0} f = cc_X f \quad \text{genus rank}$$

$$cc_{X,d} f = (-1)^d \text{rank } f$$

$$cc_{X,d-1} f = \frac{\text{arith. divisor}}{\text{total dimension divisor}}$$

where g is the universal quotient bundle of $T^*X \hookrightarrow \mathbb{P}(T^*X \oplus 1)$ on $\pi^*(T^*X \oplus 1)$.

If $k = \mathbb{C}$, by a theorem of V. Ginsburg, the following diagram is commutative for any projective morphism $f: X \longrightarrow Y$ in Sm/k

$$\begin{array}{ccc} K(X, \Lambda) & \xrightarrow{cc_{X,*}} & CH_*(X) \\ \downarrow f_* & \quad (\times \times) \quad & \downarrow f_* \\ K(Y, \Lambda) & \xrightarrow{cc_{Y,*}} & CH_*(Y) \end{array}$$

except for the degree zero part.

But in char > 0 , $(\times \times)$ is not commutative by a philosophy of Grothendieck.

Counter example The Frobenius map $X = \mathbb{P}^n \xrightarrow{F} X$ is radicial and surjective.

Corollary C Let $f: X \rightarrow Y$ be a projective map between smooth schemes over a finite field k . For any $F \in D^b(X, \mathbb{Q}_\ell)$, we have

$$f_* c_X F = c_Y R f_* F \quad \text{in } CH_0(Y).$$

Proof $\lambda = \otimes$.

For any X -rep. of $T_{\bar{\ell}}^{ab}(Y)$

$$CH_0(X) \xrightarrow{\otimes} T_{\bar{\ell}}^{ab}(X)$$

$$\downarrow f^*$$

$$CH_0(Y) \xrightarrow{\otimes} T_{\bar{\ell}}^{ab}(Y)$$

$$\xrightarrow{\lambda} \overline{\otimes} X$$

$$\chi(S_Y(-c_Y R f_* F)) = \frac{\epsilon(Y, R f_* F \otimes X)}{\epsilon(Y, R f_* F)}.$$

$$= \frac{\epsilon(X, F \otimes f^* X)}{\epsilon(X, F)} = (f^* X)(\otimes X(-c_X F))$$

$$= \chi(R f_* f'_* c_X F).$$

$\overline{\otimes}^X \cong \otimes^X$ roots of unity.

$$\text{injective of } P_Y \Rightarrow f_* c_X F = c_Y R f_* F.$$

⊗.

We start to prove the curve case of twist formula.

§ 2 Local epsilon factor and Deligne-Lusztig's product formula

$X \xrightarrow{f} \text{Spec } k$ smooth projective curve over a finite field k .

$$F \in D^b(X, \mathbb{Q}_\ell)$$

Grothendieck L-function $L(X, F; t) = \det(1 - t \cdot \text{Frob}_k; R\Gamma(X_k, F))^{-1}$

$$= \prod_{x \in X} \frac{1}{\det(1 - t^{\deg(x)} \text{Frob}_x; F_x)}$$

If satisfies the following functional equation:

$$L(X, F; t) = t^{-\chi(X_k, F)} \cdot \epsilon(X, F) \cdot \underline{L(X, DF, t^{-1})}$$

where $DF = R\text{Hom}(F, Rf^! \mathbb{Q}_\ell)$ is the dual of F .

$$L(X, F(n); t) = L(X, F; q^{-n}t)$$

$$L(X, F^\vee, q^{-1}t^{-1})$$

$$F^\vee = R\text{Hom}(F, \mathbb{Q}_\ell)$$

Langlands L-function of auto forms = products of local L-functions.

E-factor = products of certain local E-factors.

Theorem (Langlands 1970, Deligne 1972).

Let χ be a fixed non-trivial additive character of k .

There exists a unique map $\epsilon_\chi := \epsilon$:

$$\text{triples } (T, F, \omega) \longmapsto \epsilon(T, F, \omega) \in \overline{\mathbb{Q}_\ell}^\times$$

where T is a henselian trait with closed point s , generic point η , $k(s) \supseteq k$.
of ~~equi~~-char p

$$F \in D^b_c(T, \overline{\mathbb{Q}}_\ell)$$

$$\omega \in S^1_{k(\eta)} \setminus \{0\}$$

Satisfying ① $\epsilon(T, F, \omega)$ depends only on the isom classes of the triple (T, F, ω)

② additive in F

③ Induction formula for virtual sheaf of rank 0

$$\begin{array}{ccc} \eta_1 & T_1 & F_1 \in D^b_c(T_1, \overline{\mathbb{Q}}_\ell) \\ \downarrow \text{finite separable ext.} & \downarrow \text{normalization in } \eta_1 & \text{If } \text{rank}(F_1)_{\eta_1} = 0, \text{ then} \\ \eta & T & \end{array}$$

$$\epsilon(T, j_* F_1, \omega) = \epsilon(T_1, \eta_1, \epsilon_\chi \omega)$$

④ If \mathcal{G} is smooth sheaf of rank 1 on η , which induce a character

$\chi: k^\times \rightarrow \overline{\mathbb{Q}}^\times$ via the reciprocity homomorphism $\chi_K: k^\times \rightarrow \text{Gal}(\bar{k}/k)^{\text{ab}}$

\bar{k} completion of $k(\eta)$ w.r.t valuation

uniformizer \mapsto Frobs.

then $\epsilon(T, j_* \mathcal{G}, \omega) = \text{Tate}(\chi, \bar{\mathbb{Q}})$

$$j: \eta \rightarrow T$$

$$\begin{matrix} \uparrow \\ \text{isogeny} \end{matrix}$$

$$\bar{\mathbb{Q}}: K \rightarrow \overline{\mathbb{Q}}_\ell^\times$$

$$\bar{\mathbb{Q}}(a) = 4(\text{Tr}_{K/\mathbb{Q}}(\text{res}_\eta(a\omega))).$$

$$\text{tate local constant } \text{Tate}(\chi, \bar{\mathbb{Q}}) = \begin{cases} \chi(\pi^{\text{ord}_\eta(\omega)}) q_\eta^{\text{ord}_\eta(\omega)} & \text{if } \chi|_{U_K} = 1 \\ \int_{\mathcal{X}^\dagger(\bar{\mathbb{Q}})} \chi'(z) \bar{\mathbb{Q}}(z) dz & \text{if } \chi|_{U_K} \neq 1 \end{cases}$$

where $\chi' \in \bar{\mathbb{Q}}^\times$ is an arbitrary element of valuation $a(\chi) + \text{ord}_\eta(\omega)$, and $\int_{\mathcal{X}^\dagger(\bar{\mathbb{Q}})} dz = 1$.

$$a(\chi) = \begin{cases} 0 & \text{if } \chi \text{ is unramified} \\ \dots & \text{otherwise} \end{cases}$$

④ relation with $\det(F_{\text{rob}})$

If F is supported on γ , i.e., $F_{\bar{\gamma}} = 0$, then $E(T, F, \omega) = \det(-F_{\text{rob}}, F)^{\wedge}$.

Local twist formula (baby case of Deligne and Henniart)

If S is a smooth \mathbb{Q}_{ℓ} -sheaf on T , then we have

$$E(T, F \otimes S, \omega) = E(T, F, \omega)^{\text{rk } S} \cdot \det(F_{\text{rob}}, S)^{\alpha(T, F, \omega)}$$

alternative sum of generic rank

$$\text{where } \alpha(T, F, \omega) = \alpha(T, F) + \text{rank } F_{\bar{\gamma}} \cdot \text{ord}_x(\omega)$$

$$= \text{rank } F_{\bar{\gamma}} - \text{rank } F_{\bar{\delta}} + \text{sw } F_{\bar{\gamma}} + \text{rank } F_{\bar{\gamma}} \cdot \text{ord}_x(\omega)$$

is the local Artin conductor.

Deligne-Lauritzen's product formula

smooth
proj curve X/k , F , ω : meromorphic 1-form on X .

$$E(X, F) = \prod_{x \in |X|}^{C(1+g) \text{ rank } F} E_x(F)$$

$$E(X_{(x)}, F|_{X_{(x)}}, \omega|_{X_{(x)}})$$

Where $C = \text{number of connected component } X \otimes \mathbb{F}$,
 $g = \text{genus of one of them. (or } \deg \omega = 2g-2\text{)}$

Now we show the following

Local twist formula
product formula
GFS formula } \Rightarrow global twist formula if $\dim X = 1$.

$$\frac{E(X, F \otimes S)}{E(X, F)^{\text{rk } S}} = \prod_{x \in |X|} \frac{E_x(F \otimes S)}{E_x(F)^{\text{rk } S}} \xrightarrow{\text{local twist}} \prod_{x \in |X|} \det S(F_{\text{rob}, x})^{\alpha_x(F, \omega)}$$

$$\begin{aligned} &= \det(S \otimes \bigwedge_{x \in |X|} (\alpha_x(F, \omega), [x])) \\ &\text{GFS-formula} \\ &= \det S(\sum_{x \in |X|} c_x F) \end{aligned}$$

$$c_x F = \langle C(F, F \otimes x) \rangle$$

$$c_x = \text{rank } F_{\bar{x}}$$

$$\Rightarrow c_x F = - \sum_{x \in |X|} \alpha_x(F, \omega), [x]$$

If $\dim X > 1$, we prove twist formula by induction on the dimension of X and choose good pencil for X . For this we need an induction formula for $cc_X \mathcal{F}$.

S3 Good fibration and induction formula for $cc_X \mathcal{F}$

Let $X \xrightarrow{f} Y$ be a flat morphism between projective smooth schemes

$\dim Y = 1$, w : meromorphic 1-form

$C \subseteq T^*X$ conical closed subset.

We say that f is a good fibration with respect to C and w if the following conditions are satisfied:

- ① f is C -transversal on $X \setminus \{u_1, \dots, u_m\}$ \leftarrow finite set of closed points of X
- ② if $i \neq j, f(u_i) \neq f(u_j)$, each fiber has at most one isolated char point w.r.t. C .
- ③ u_i and $f(u_i)$ have same residue field ($\text{lift the Frob}_{f(u_i)}$ on $C \dashrightarrow$)
- ④ w has neither poles nor zeros at $\Sigma = \{f(u_1), \dots, f(u_m)\}$ Frob_{u_i} on X
- ⑤ For all $v \in Y$, if $\text{ord}_v(w) \neq 0$, then X_v is smooth and $X_v \xrightarrow{\sim} X$ is properly C -transversal. (then we can apply pull-back formula for CC)

Remark If $k = \mathbb{F}_q^{\text{alg}}$, $X \subseteq \mathbb{P}^n \xrightarrow{\text{r-fold Veronese embedding for } r \geq 3} \mathbb{P}^{n \binom{n+1}{d}-1}$
 $\xrightarrow{(a_0, \dots, a_n) \mapsto (a_0^d, a_0^{d-1}a_1, \dots, a_n^d)}$

Saito-Yatagawa $\Rightarrow \exists$ good pencil $L \subseteq \mathbb{P}^V$ of X such that

A_L meets X transversally
 $X \cap A_L \rightarrow X$ properly
 \curvearrowright C -tran.

$X_L \rightarrow X$ is properly C -tran.

$A_L \cap X$ is away from $\{u_1, \dots, u_m\}$.

If $k = \text{finite field}$, one need to take a finite extension \mathbb{F}_k/k .

Key Lemma

$\text{FEDb}(X, 1)$. If $f: X \rightarrow Y$ is a good fibration associated to $(SS\mathcal{F}, w)$, then we have

$$cc_X \mathcal{F} = - \sum_{i=1}^m \text{char of } R\mathbb{Q}_{u_i}(Y, f) \cdot [u_i] - \sum_{v \in Y \setminus \Sigma} \text{ord}_v(w) \cdot cc_{X_v}(Y|_{X_v}).$$

Remark We can use key lemma to give an inductive construction of $cc_X \mathcal{F}$ starting from GJS formula for curves.

Under the assumptions in the Lemma | Recall \mathcal{G} smooth on X .

If thmA is true for X_v for all $\text{ord}_v(\omega) \neq 0$, then thmA is true for X .

$$\frac{\mathbb{E}(X, \mathcal{F} \otimes \mathcal{G})}{\mathbb{E}(X, \mathcal{F} \otimes \mathcal{H})} = \prod_{v \in Y} \frac{\mathbb{E}_v(Rf_X^*(\mathcal{F} \otimes \mathcal{G}))}{\mathbb{E}_v(Rf_X^*(\mathcal{F} \otimes \mathcal{H}))}$$

• local twist formula
• $f \in \text{van. over } v$
 $\Rightarrow f$ is universally locally analytic w.r.t \mathcal{F} on open of v .
• proper push forward

$$\frac{\mathbb{E}_v(Rf_X^*(\mathcal{F} \otimes \mathcal{G}))}{\mathbb{E}_v(Rf_X^*(\mathcal{F}))} = \begin{cases} \det(S_X(\text{ord}_v(\omega) \cdot cc(\mathcal{F}|_{X_v}))) & \text{if } v \notin \Sigma, \text{ord}_v(\omega) \neq 0 \\ \det(S(X(\dim \text{tot } R\mathcal{F}_{u_i} \cdot [u_i]))) & \text{if } v \in \Sigma, v = f(u_i) \end{cases}$$

↑
use $R\mathcal{F}(X_v, \mathcal{F}) \rightarrow R\mathcal{F}(X_{\bar{u}}, \mathcal{F}) \rightarrow R\mathcal{F}_{u_i}(\mathcal{F}, f) \rightarrow \dots$



Proof of Key Lemma

$$X \xrightarrow{s_2} T^*Y \times_Y X$$

$\searrow s_1 \quad \downarrow df$

$$\rightarrow T^*X$$

$$Z = CC\mathcal{F} \text{ on } T^*X.$$

$$df^!(Z) = A + \sum_{i=1}^m b_i \cdot [T^*Y \times_Y u_i]$$

$$\begin{aligned} b_i &= (df^!(Z), [\omega])_{T^*X, u_i} = (Z, f^*\omega)_{T^*X, u_i} \\ &= \dim \text{tot } R\mathcal{F}_{u_i}(\mathcal{F}, f) \end{aligned}$$

$$X \xrightarrow{[\omega]} T^*Y \times_Y X$$

$$\begin{aligned} s_2^! A &= C(f^* \omega^!) \cap \overline{A} = \sum_{v \in Y} \text{ord}_v(\omega)(\omega^* Z, T_{X_v}^* X_v)_{T^* X_v} \\ &= - \sum_{v \in Y} \text{ord}_v(\omega)(cc_{X_v}(\mathcal{F}|_{X_v}), T_{X_v}^* X_v)_{T^* X_v} \end{aligned}$$

$$s_1^! A$$

$$cc_{X_v}(\mathcal{F}) = (X, (CC\mathcal{F})) = s_2^! df^!(CC\mathcal{F}) = \dots$$

§4 Swan classes

$$U \xrightarrow{\text{open}} X \leftarrow \text{proper smooth}$$

\mathcal{F} \hookrightarrow smooth etale sheaf on U .

In 2004, Kato and T. Saito define the Swan classes $Sw^{k,g}(\mathcal{F}) \in CH_0(X \setminus \{s\}) \otimes_{\mathbb{Q}}$

The $Sw^{bs}(-)$ satisfies the following properties:

(1) If F and G have same wild ramification \Rightarrow

$$\text{then } Sw^{bs}F = Sw^{bs}G$$

universally
Same Euler
characteristic \Rightarrow same rank
by cut by curve

(2) push-forward For any Cartesian diagram

$$\begin{array}{ccc} V & \hookrightarrow & Y \\ f & \downarrow & \downarrow f \\ U & \hookrightarrow & X \end{array} \quad \begin{array}{l} Y, X \text{ proper smooth} \\ f: \text{finite \'etale} \\ S: \text{smooth sheaf on } V. \end{array}$$

$$\text{then } Sw^{bs}(f_*S) = f_*Sw^{bs}(S) + \text{rank } S \cdot Sw^{bs}(f_*\Lambda) \text{ in } CH_0(X).$$

(3) If $D = X \setminus U$ and $B = Y \setminus V$ are SNC divisor, then

$$Sw^{bs}(f_*\Lambda) = d^{\log} := (-1)^{\dim X - 1} f_* \left(G(S^1_{\log(B)}) - f_*^* S^1_{\log(D)} \right) \cap T_f \}$$

+ Hodge Hurwitz logarithmic differential zero class

For $Sw^{cc}f = \langle T_{X \setminus X}^*, \text{rank } f \cdot (c(j, \Lambda) - c(j, f)) \rangle_{T_{X \setminus X}} \in \text{Hom}(X)$.

$$Sw^{cc}f \in (3)$$

$Sw^{cc}f \in (1)$ Saito-Yatagawa, a generalization of Illusie and J. Vidal

(2) open.

Proposition Assume resolution of singularities in the strong sense.

Any Sw^* satisfying (1)(2)(3) is equal to Sw^{bs} .

Proof Fourier induction \Rightarrow WMA f is of rank 1, and triangulated by a finite \'etale cover of Galois group $\mathbb{Z}/n\mathbb{Z}$.

By induction on $n \Rightarrow$ WMA $n=1$

$$f \leftrightarrow \chi, \text{ character } \chi \text{ of } G = \mathbb{F}_p.$$

non-trivial

For any non-trivial character χ , and χ' $\Rightarrow \chi$ and χ' have same wild ramification.

constants
 \int_F

$$\begin{array}{ccc} V & \xrightarrow{\text{of group } \mathbb{F}_p} & \bigoplus \chi \\ f & \downarrow & \oplus \chi \\ U & & \end{array}$$

$$(p-1)Sw^*f = \sum_{\chi} Sw^*\chi = Sw^*f_*\Lambda = Sw^{bs}f_*\Lambda = (p-1)Sw^{bs}f$$

Same wild ramification

X/k of finite type.

$$\Lambda = \mathbb{Z}_p, \lambda \neq p = \text{char } k.$$

1) Assume X is normal and separated.

\mathcal{F} and \mathcal{F}' are locally constant constructible sheaves of Λ -modules.

We say \mathcal{F} and \mathcal{F}' have the same wild ramification if

\exists proper normal $\overline{X} \supseteq X$ such that for all geometric $\overline{x} \rightarrow \overline{X}$, we have

Let G be a finite quotient group of the inertia group $I_{\overline{x}} = \pi_1(\overline{X}_{(\overline{x})} \times_{\overline{X}} \overline{X}, \overline{x})$

with respect to a base point \overline{x} such that the pull-backs to $\overline{X}_{(\overline{x})} \times_{\overline{X}} \overline{X}$ of \mathcal{F} and \mathcal{F}' correspond to G -modules M and M' respectively.

then for every element $\sigma \in G$ of power order, we have an equality of the dimensions of the σ -fixed parts:

$$\dim M^{\sigma} = \dim M'^{\sigma}.$$

2) Let \mathcal{F} and \mathcal{F}' be constructible complexes of Λ -modules on X .

We say \mathcal{F} and \mathcal{F}' have same wild ramification if

\exists finite partition $X = \coprod_{i \in I} X_i$ by locally closed normal and separated subschemes such that for every q and for every i , the restrictions $\mathcal{H}^q(\mathcal{F})|_{X_i}$ and $\mathcal{H}^q(\mathcal{F}')|_{X_i}$ are locally constant constructible and have the same wild ramification in the sense of 1.